

| General information about company | |
|--|---|
| Scrip code | 532737 |
| NSE Symbol | EMKAY |
| MSEI Symbol | Not Listed |
| ISIN | INE296H01011 |
| Name of the company | Emkay Global Financial Services Limited |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-12-2019 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| Declaration | | | | | |
|-------------|--|--------|-----------------------------|--------------------|-------------------------|
| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | Yes | | | |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|-------------|----------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg: y | Total | |
| (A) | Promoter & Promoter Group | 7 | 18353984 | | | 18353984 | 74.55 | 18353984 | | 18353984 | 74.55 |
| (B) | Public | 8931 | 6265046 | | | 6265046 | 25.45 | 6265046 | | 6265046 | 25.45 |
| (C) | Non Promoter- Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 8938 | 24619030 | | | 24619030 | 100 | 24619030 | | 24619030 | 100 |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | |
|---|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 74.55 | | | | | 18353984 |
| (B) | Public | | | | 25.45 | | | | | 6260532 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 24614516 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
|--|---|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 6 | 13502500 | | | 13502500 | 54.85 | 13502500 | | 13502500 | 54.85 |
| (d) | Any Other (specify) | 1 | 4851484 | | | 4851484 | 19.71 | 4851484 | | 4851484 | 19.71 |
| Sub-Total (A)(1) | | 7 | 18353984 | | | 18353984 | 74.55 | 18353984 | | 18353984 | 74.55 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2) | | 7 | 18353984 | | | 18353984 | 74.55 | 18353984 | | 18353984 | 74.55 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (f) | Financial Institutions/ Banks | 1 | 5314 | | | 5314 | 0.02 | 5314 | | 5314 | 0.02 |
| Sub-Total (B)(1) | | 1 | 5314 | | | 5314 | 0.02 | 5314 | | 5314 | 0.02 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 8205 | 3218357 | | | 3218357 | 13.07 | 3218357 | | 3218357 | 13.07 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 16 | 1148470 | | | 1148470 | 4.66 | 1148470 | | 1148470 | 4.66 |
| (e) | Any Other (specify) | 709 | 1892905 | | | 1892905 | 7.69 | 1892905 | | 1892905 | 7.69 |
| Sub-Total (B)(3) | | 8930 | 6259732 | | | 6259732 | 25.43 | 6259732 | | 6259732 | 25.43 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B) (3) | | 8931 | 6265046 | | | 6265046 | 25.45 | 6265046 | | 6265046 | 25.45 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 8938 | 24619030 | | | 24619030 | 100 | 24619030 | | 24619030 | 100 |
| Total (A+B+C) | | 8938 | 24619030 | | | 24619030 | 100 | 24619030 | | 24619030 | 100 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|--|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 54.85 | | | | | 13502500 |
| (d) | | | | 19.71 | | | | | 4851484 |
| Sub-Total (A) (1) | | | | 74.55 | | | | | 18353984 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 74.55 | | | | | 18353984 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (f) | | | | 0.02 | | | | | 5314 |
| Sub-Total (B) (1) | | | | 0.02 | | | | | 5314 |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 13.07 | | | | | 3213843 |
| (a(ii)) | | | | 4.66 | | | | | 1148470 |
| (e) | | | | 7.69 | | | | | 1892905 |
| Sub-Total (B) (3) | | | | 25.43 | | | | | 6255218 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 25.45 | | | | | 6260532 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 24614516 |
| Total (A+B+C) | | | | 100 | | | | | 24614516 |

| Individuals/Hindu undivided Family | | | | | | |
|--|---------------------|------------------|-----------------|--------------|---------------------|-----------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 |
| Name of the Shareholders (I) | Krishna Kumar Karwa | Prakash Kacholia | Preeti Kacholia | Raunak Karwa | Krishna Kumar Karwa | Murlidhar Karwa |
| PAN (II) | AACPK9149E | AADPK7247C | AADPK7245A | ACVPK0232B | AACHK2851D | AABHM6186L |
| No. of fully paid up equity shares held (IV) | 4922500 | 4750000 | 1880000 | 1750000 | 100000 | 100000 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 4922500 | 4750000 | 1880000 | 1750000 | 100000 | 100000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 19.99 | 19.29 | 7.64 | 7.11 | 0.41 | 0.41 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg:X | 4922500 | 4750000 | 1880000 | 1750000 | 100000 | 100000 |
| Class eg:y | | | | | | |
| Total | 4922500 | 4750000 | 1880000 | 1750000 | 100000 | 100000 |
| Total as a % of Total Voting rights | 19.99 | 19.29 | 7.64 | 7.11 | 0.41 | 0.41 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 19.99 | 19.29 | 7.64 | 7.11 | 0.41 | 0.41 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 4922500 | 4750000 | 1880000 | 1750000 | 100000 | 100000 |
| Reason for not providing PAN | | | | | | |
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|------------------------------|----------|----------|----------------|----------------|----------------|----------------|
| Reason for not providing PAN | | | | | | |
| Shareholder type | Promoter | Promoter | Promoter Group | Promoter Group | Promoter Group | Promoter Group |

| Individuals/Hindu undivided Family | |
|--|---------------------------------------|
| Serial No. | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of fully paid up equity shares held (IV) | 13502500 |
| No. Of Partly paid-up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 13502500 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 54.85 |
| Number of Voting Rights held in each class of securities (IX) | |
| Class eg:X | 13502500 |
| Class eg:y | |
| Total | 13502500 |
| Total as a % of Total Voting rights | 54.85 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 54.85 |
| Number of Locked in shares (XII) | |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of Shares pledged or otherwise encumbered (XIII) | |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of equity shares held in dematerialized form (XIV) | 13502500 |
| Reason for not providing PAN | |
| | |

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|------------------------------|--|
| Reason for not providing PAN | |
| Shareholder type | |

| Any Other (specify) | | |
|--|----------------------------------|---------------------------------------|
| Serial No. | 1 | |
| Category | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | Emkay Corporate Services Pvt.Ltd | |
| PAN (II) | AAACE0995M | Total |
| No. of the Shareholders (I) | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 4851484 | 4851484 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 4851484 | 4851484 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 19.71 | 19.71 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 4851484 | 4851484 |
| Class eg:y | | |
| Total | 4851484 | 4851484 |
| Total as a % of Total Voting rights | 19.71 | 19.71 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 19.71 | 19.71 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity | 4851484 | 4851484 |

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|--|----------|--|
| shares held in dematerialized form (XIV) | | |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |
| Shareholder type | Promoter | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | |
|--|--------------------|---------------------------------------|
| Serial No. | 1 | |
| Name of the Shareholders (I) | Porinju V Veliyath | Click here to go back |
| PAN (II) | AADPP6420G | Total |
| No. of fully paid up equity shares held (IV) | 343500 | 343500 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 343500 | 343500 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.4 | 1.4 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 343500 | 343500 |
| Class eg:y | | |
| Total | 343500 | 343500 |
| Total as a % of Total Voting rights | 1.4 | 1.4 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.4 | 1.4 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 343500 | 343500 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |

| Any Other (specify) | | | | | | |
|--|----------|----------|---------------------------|-----------|------------------|------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 |
| Category | IEPF | HUF | Non-Resident Indian (NRI) | Employees | Clearing Members | Bodies Corporate |
| Category / More than 1 percentage | Category | Category | Category | Category | Category | Category |
| Name of the Shareholders (I) | | | | | | |
| PAN (II) | | | | | | |
| No. of the Shareholders (I) | 1 | 248 | 283 | 34 | 47 | 96 |
| No. of fully paid up equity shares held (IV) | 3105 | 583973 | 555732 | 312481 | 123286 | 314328 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 3105 | 583973 | 555732 | 312481 | 123286 | 314328 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.01 | 2.37 | 2.26 | 1.27 | 0.5 | 1.28 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 3105 | 583973 | 555732 | 312481 | 123286 | 314328 |
| Class eg:y | | | | | | |
| Total | 3105 | 583973 | 555732 | 312481 | 123286 | 314328 |
| Total as a % of Total Voting rights | 0.01 | 2.37 | 2.26 | 1.27 | 0.5 | 1.28 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0.01 | 2.37 | 2.26 | 1.27 | 0.5 | 1.28 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 3105 | 583973 | 555732 | 312481 | 123286 | 314328 |
| Reason for not providing PAN | | | | | | |

| | | | | | | |
|------------------------------|--|--|--|--|--|--|
| Reason for not providing PAN | | | | | | |
|------------------------------|--|--|--|--|--|--|

| Any Other (specify) | |
|--|-----------------------|
| Serial No. | |
| Category | |
| Category / More than 1 percentage | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of the Shareholders (I) | 709 |
| No. of fully paid up equity shares held (IV) | 1892905 |
| No. Of Partly paid-up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1892905 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 7.69 |
| Number of Voting Rights held in each class of securities (IX) | |
| Class eg: X | 1892905 |
| Class eg:y | |
| Total | 1892905 |
| Total as a % of Total Voting rights | 7.69 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 7.69 |
| Number of Locked in shares (XII) | |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of equity shares held in dematerialized form (XIV) | 1892905 |
| Reason for not providing PAN | |

| | |
|------------------------------|--|
| Reason for not providing PAN | |
|------------------------------|--|

significant beneficial owners

| Details of the SBO | | | | | Details of the registered owner | | | | | Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: | | | | | E c a o s b ii |
|--------------------|------------|--|-------------|---|------------------------------------|------------|--|-------------|---|---|---------------|--|---------------------|-----------------------------------|----------------|
| Name | PAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Name | PAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Whether by virtue of: | | | | | |
| | | | | | | | | | | Shares | Voting rights | Rights on distributable dividend or any other distribution | Exercise of control | Exercise of significant influence | |
| shnamarawa | AACPK9149E | N.A. | India | | Emkay Corporate Services Pvt. Ltd. | AAACE0995M | N.A. | India | | 9.86 | 9.86 | 9.86 | Yes | Yes | 24 |
| Total: | | | | | | | | | | 9.86 | 9.86 | 9.86 | | | |
| kashcholia | AADPK7247C | N.A. | India | | Emkay Corporate Services Pvt. Ltd. | AAACE0995M | N.A. | India | | 9.86 | 9.86 | 9.86 | Yes | Yes | 24 |
| Total: | | | | | | | | | | 9.86 | 9.86 | 9.86 | | | |